

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
22 August 2002 (22.08.2002)

PCT

(10) International Publication Number
WO 02/064376 A1

(51) International Patent Classification⁷:

B41M 5/30

(21) International Application Number:

PCT/US02/04360

(22) International Filing Date: 13 February 2002 (13.02.2002)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

60/268,425 13 February 2001 (13.02.2001) US
09/819,572 28 March 2001 (28.03.2001) US

(71) Applicant (for all designated States except US): MIAMI
WABASH PAPER LLC [US/US]; 108 Main Street, Nor-
walk, CT 06851 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): HOEFS, Eugene, V. [US/US]; 102 Highridge Court, Franklin, OH 45005 (US). KLEINHENZ, Regina, A. [US/US]; 516 South Broadway Avenue, Trotwood, OH 45426 (US).

(74) Agent: FREDERICK, James, R.; Ware, Fressola, Van der Sluys & Adolphson LLP, Bradford Green, Building Five, 755 Main Street, P.O. Box 224, Monroe, CT 06468 (US).

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

WO 02/064376 A1

(54) Title: THERMAL PRINT PAPER AND PROCESS

(57) Abstract: A coating composition is applied to a print stock substrate for preparing a thermally-active layer, the coating composition comprising: a developer comprising at least one or a combination of 6'-dipentylamino-3'-methyl-2'(phenylamino)-spiro[isobenzofuran-1(3H),9'-[9H]xanthen]-3-one (CAS N°. 129473-78-5) AND 6'-dibutylamino-3'-methyl-2'(phenylamino)-spiro[isobenzofuran-1(3H),9'-[9H]xanthen]-3-one (CAS N°. 89331-94-2); a sensitizer comprising at least one or a combination of 1,1'-sulfonylbis-benzene (CAS N°. 127-63-9) and dimethylterephthalate (CAS N°. 120-61-6); and a coreactant (or developer) comprising at least one or a combination of bis(4-hydroxyphenyl)-4-methylpentane (CAS N°. 6807-17-6) and 4-hydroxy-4'-isopropoxydiphenylsulfone (CAS N°. 95235-30-6). Preferably, the print stock substrate will be coated with clay or the like to provide a smooth coating surface for the thermally-active layer. Also preferably, the thermally-active layer will be overcoated with a protective layer.

Best Available Copy

THERMAL PRINT PAPER AND PROCESS

Background Of The Invention

The invention concerns a new coating for paper and other substrates for use in direct thermal printing.

Thermal printers selectively heat a heat-sensitive coating to print on a suitable substrate stock such as paper or paperboard. They can be used for a wide variety of purposes, most of which call for sharp contrast between white and dark areas and uniform density of the dark areas even with varying energy inputs.

Direct thermal print media typically comprise three layers coated on paper or other substrate: a first coating, in contact with the substrate of clay and a binder; a second, active layer comprising the thermally sensitive components; and, a top coat of a material suitable to protect the active layer before and after image formation. The active layer typically comprises a dye, a sensitizer and a color developer (also sometimes referred to as a coreactant).

A number of prior patents list various combinations of active layer ingredients.

In U. S. Patent No. 5,066,634, Minami, *et al.*, describe heat-sensitive recording materials which are said to have superior heat, water and oil resistance. To achieve this they employ a specific developer of 4-hydroxy-4'-n-propoxydiphenylsulfone and at least one fluorane-leuco dye from a specific class. The data show comparable image densities for the invention and the comparisons, with differences in the noted properties of heat, water and oil resistance in the absence of an overcoating layer.

In U. S. Patent No. 5,116,802, Arai, *et al.*, describe a heat sensitive recording material which, in the absence of an overcoating layer, will avoid deposits on the thermal print head. They disclose the combination of di(p-methylbenzyl) oxalate with a basic dye comprising 3-di(n-butyl)amino-6-methyl-7-phenylaminofluoran and a developer such as 4-hydroxy-4'-isopropoxydiphenylsulfone or 4,4'-isopropylidenediphenol.

There is a need for thermally sensitive recording media having high contrast when printed and comprising an overcoating designed for long life of the printed image.

Summary Of The Invention

The invention provides both a new print stock specially adapted for use in thermal printing and to a composition and a method for making it.

A coating composition is applied to a print stock substrate for preparing a thermally-active layer, the coating composition comprising:

a dye comprising at least one or a combination of 6'-dipentylamino-3'-methyl-2'(phenylamino)-spiro [isobenzofuran-1(3H),9'-[9H]xanthen]-3-one (CAS No. 129473-78-5) and 6'-dibutylamino-3'-methyl-2'(phenylamino)-spiro [isobenzofuran-1(3H),9'-[9H]xanthen]-3-one (CAS No. 89331-94-2);

a sensitizer comprising at least one or a combination of 1,1'-sulfonylbisbenzene (CAS No. 127-63-9) and dimethylterephthalate (CAS No. 120-61-6); and

a coreactant (or developer) comprising at least one or a combination of bis(4-hydroxyphenyl)-4-methylpentane (CAS No. 6807-17-6) and 4-hydroxy-4'-isopropoxydiphenylsulfone (CAS No. 95235-30-6).

Also preferably, the thermally-active layer will be overcoated with a protective layer.

The method of the invention comprises preparing the above composition and applying it to a print stock substrate. The product of this process is also new.

Many of the preferred aspects of the invention are described below.

Brief Description of the Drawings

The invention will become more apparent from the following description, especially when taken in light of the examples and the accompanying drawings, wherein:

Figures 1 and 2 graphically represent the results of tests set forth in the Examples below.

Description Of The Invention

The invention provides a coating composition, coated substrates and a method for coating substrates all for the purpose of providing improved thermal printing. The invention will be described with particular attention to coated paper useful for thermal printers, and it will be understood that any print stock substrate that can be fed into a thermal printer can be employed as the substrate for a thermal print medium according to the invention, e.g., paper, paperboard, polymeric film, synthetic paper, nonwoven foils and textiles.

The coating compositions of the invention comprise aqueous mixtures of the noted dyes, sensitizers and developers in proportions suitable for coating. Typically, and preferably, each of these three types of materials is prepared as a separate dispersion and the three are then mixed. In addition to these essential materials, the coating composition will also preferably contain a binding polymer such as polyvinyl alcohol or the like.

As noted above, the compositions will all contain as a developer (or coreactant), at least one or a combination of bis(4-hydroxyphenyl)-4-methylpentane (BHPMP) (CAS No. 6807-17-6) and 4-hydroxy-4'-isopropoxydiphenylsulfone (D-8) (CAS No. 95235-30-

6.), which is effective in a weight ratio relative to the dye of from about 4:1 to about 1:1, e.g., from about 3:1 to about 3:2. Typically, the ratio will be close to 2:1. Similar ratios will be employed for the amounts of sensitizer to dye. One commercial form of the developer bis(4-hydroxyphenyl)-4-methylpentane is BHPMP, available from Specialty Chem Products. The developer is preferably prepared as an aqueous dispersion with water and a binder such as polyvinyl alcohol. The concentration of the dispersion will be about 20 to 50% solids, with the developer comprising from about 20 to about 45% of the dispersion weight. Typical concentrations will be from about 30 to about 38% developer. Following mixing, the dispersion is milled until the average particle size is suitable for fine coating, typically from 0.2 to 2.0 micrometers, e.g., less than 0.55 micrometers.

Similar dispersions are preferably prepared for the dye and the sensitizer. As noted above, the dye comprises at least one or a combination of 6'-dipentylamino-3'-methyl-2'(phenylamino)-spiro [isobenzofuran-1(3H),9'-[9H]xanthen]-3-one (CAS No. 129473-78-5), available from Sofix as BK 305, and 6'-dibutylamino-3'-methyl-2'(phenylamino)-spiro [isobenzofuran-1(3H),9'-[9H]xanthen]-3-one (CAS No. 89331-94-2) available from Sofix as BK 400. It is an advantage of the invention that the materials can be used alone or in combination as the dye component. Combinations can be in any reasonable proportions.

The sensitizer 1,1'-sulfonylbisbenzene (CAS No. 127-63-9) (DPS) is available commercially as DPS from Sloss Industries. The sensitizer dimethylterephthalate (CAS No. 120-61-6) is commercially available as DMT.

The coating composition will also preferably employ conventional materials such as: optical brighteners, e.g., Leucophor from Clariant; dispersing agents, e.g., Tamol 731 from Rohm & Haas; defoamers, e.g., Surfynol 104 from Air Products; Kaolin, e.g., Ultrawhite 90 from Engelhard; calcium carbonate, e.g., Hydrocarb 90 from Omya; cross linking agents, e.g., Resimene AQ7550 from Solutia; antioxidants, e.g., DH43 from

Nagase; and the like. These materials will be used in amounts effective for their intended purposes.

The above coating composition is applied to a suitable thermal media stock and dried in conventional manner. For example, airknife, rod, Maier rod, blade and flexographic coaters can be used.

Also preferably, the thermally-active layer will be overcoated with a protective layer suitable for the particular application.

The following Examples are provided to further illustrate and explain a preferred form of the invention and are not to be taken as limiting in any regard. Unless otherwise indicated, all parts and percentages are by weight.

Example 1

This example describes the preparation of a coated paper according to a preferred process of the invention.

The following three dispersion compositions are prepared utilizing the noted ingredients and deionized water according to the following procedure to form the coating:

Composition A

Ingredient (Grade, Supplier)	Parts by Weight
Dye (Black 305, Sofix)	85
Polyvinyl Alcohol (Airvol 502, Air Products)	15
Water	177

Composition B

Ingredient (Grade, Supplier)	Parts by Weight
Sensitizer (DPS, Schloss)	85
Polyvinyl Alcohol (Airvol 502, Air Products)	15
Water	177

Composition C

Ingredient (Grade, Supplier)	Parts by Weight
Developer (BHPMP, Specialty Chem)	85
Polyvinyl Alcohol (Airvol 502, Air Products)	15
Water	177

Each of these compositions was pulverized in a horizontal small media mill until the average particle size was less than 0.55 micrometers.

A coating composition is then prepared by blending 11 parts of Composition A, 21 parts of Composition B and 21 parts of Composition C, with 20 parts of an aqueous solution of polyvinyl alcohol 4 parts of a white small particle size kaolin clay, 1 part of a melamine formaldehyde resin and an additional 23 parts of water, with stirring.

A line of this mixture is poured across a sheet of clay-coated paper (e.g., 74 grams per square meter white paper) and a No. 12 wire-wound round rod is drawn across the paper to spread the mixture evenly across the surface of the paper. After drying with a hair dryer, the resulting coating has a weight of about 6 grams per square meter.

Example 2

Paper as prepared in Example 1 was tested with papers prepared in like manner but substituting D8 for BHPMP.

Example 3

Paper as prepared in Example 1 was tested with papers prepared in like manner but substituting PMB-2 (1,2-bis(phenoxy methyl)benzene -- CAS No. 10403-74-4) for DPS.

The thermal response of the thermally active sheets were tested with an Atlantek Model 3000 Thermal Response Test System. In this system, the pulse length is varied to give different amounts of energy to different sections of the paper. The Atlantek instrument was used to print bar codes. The bar codes were read with a PSC Quick Check 500 verifier, Photographic Sciences Corp. The bar codes were evaluated according to the ANSI system. The results are given in Tables 1 and 2 and in Figures 1 and 2.

When interpreting this data, it will be noted that the top four parameters are better if higher and the bottom four show better results with lower numbers.

Table 2
(Corresponding To Figure 1)
90 Degree Rotated Bar Code Sensitivity

	Relative Sensitivity		
	BHPMP-DPS	D8-DPS	BHPMP-PMB2
Print Contrast Signal	88	79	83
Reflect (light)	79	78	88
Reflect (dark)	8	15	14
Symbol Contrast	70	62	73
R(min)/R(max)	10	19	16
Modulation	67	58	66
Edge Contrast	48	36	49
Defects	7	12	8

Table 3
(Corresponding To Figure 2)
0 Degree Rotated Bar Code Sensitivity

	Relative Sensitivity		
	BHPMP-DPS	D8-DPS	BHPMP-PMB2
Print Contrast Signal	91	81	82
Reflect (light)	78	72	83
Reflect (dark)	6	13	14
Symbol Contrast	71	58	69
R(min)/R(max)	8	18	16
Modulation	72	71	78
Edge Contrast	51	41	54
Defects	4	4	7

As can be seen from the results in the tables, the heat sensitive recording material of the invention is excellent in imaging both solid areas and bar codes. The accompanying Figures, depict the results graphically.

The above description is intended to enable the person skilled in the art to practice the invention. It is not intended to detail all of the possible modifications and variations which will become apparent to the skilled worker upon reading the description. It is intended, however, that all such modifications and variations be included within the scope of the invention which is seen in the above description and otherwise defined by the following claims. The claims are meant to cover the indicated elements and steps in any arrangement or sequence which is effective to meet the objectives intended for the invention, unless the context specifically indicates the contrary.

CLAIMS

1. A coating composition for application to a print stock substrate for preparing a thermally-active layer, the coating composition comprising:

a die comprising at least one or a combination of 6'-dipentylamino-3'-methyl-2'(phenylamino)-spiro [isobenzofuran-1(3H),9'-[9H]xanthen]-3-one (CAS No. 129473-78-5) and 6'-dibutylamino-3'-methyl-2'(phenylamino)-spiro [isobenzofuran-1(3H),9'-[9H]xanthen]-3-one (CAS No. 89331-94-2);

a sensitizer comprising at least one or a combination of 1,1'-sulfonylbisbenzene (CAS No. 127-63-9) and dimethylterephthalate (CAS No. 120-61-6); and

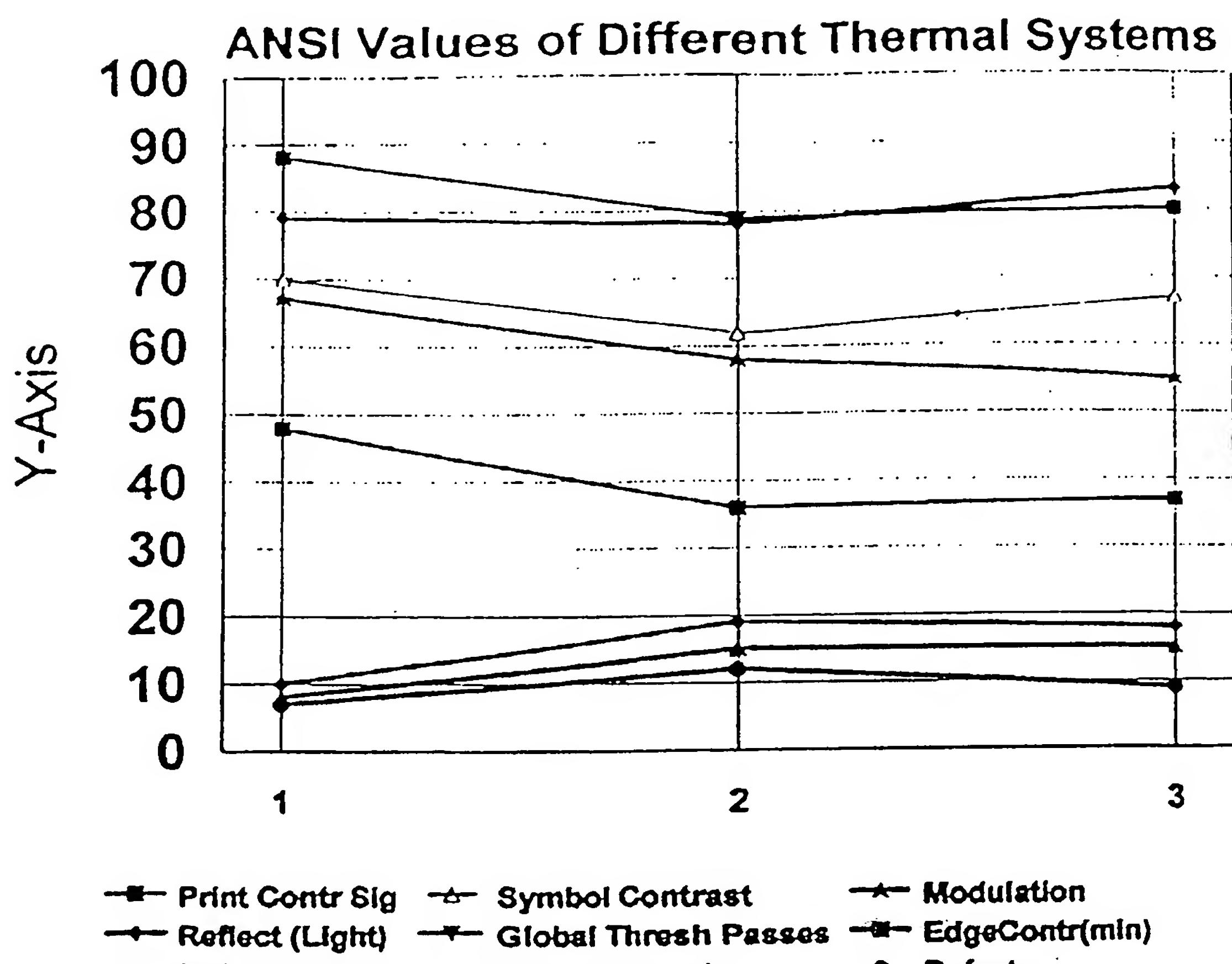
a coreactant (or developer) comprising at least one or a combination of bis(4-hydroxyphenyl)-4-methylpentane (CAS No. 6807-17-6) and 4-hydroxy-4'-isopropoxydiphenylsulfone (CAS No. 95235-30-6).

2. A coating composition according to claim 1 wherein the die comprises a mixture of 6'-dipentylamino-3'-methyl-2'(phenylamino)-spiro [isobenzofuran-1(3H),9'-[9H]xanthen]-3-one (CAS No. 129473-78-5) and 6'-dibutylamino-3'-methyl-2'(phenylamino)-spiro [isobenzofuran-1(3H),9'-[9H]xanthen]-3-one (CAS No. 89331-94-2).

3. A coating composition according to claim 1 wherein the die comprises 6'-dipentylamino-3'-methyl-2'(phenylamino)-spiro [isobenzofuran-1(3H),9'-[9H]xanthen]-3-one (CAS No. 129473-78-5).

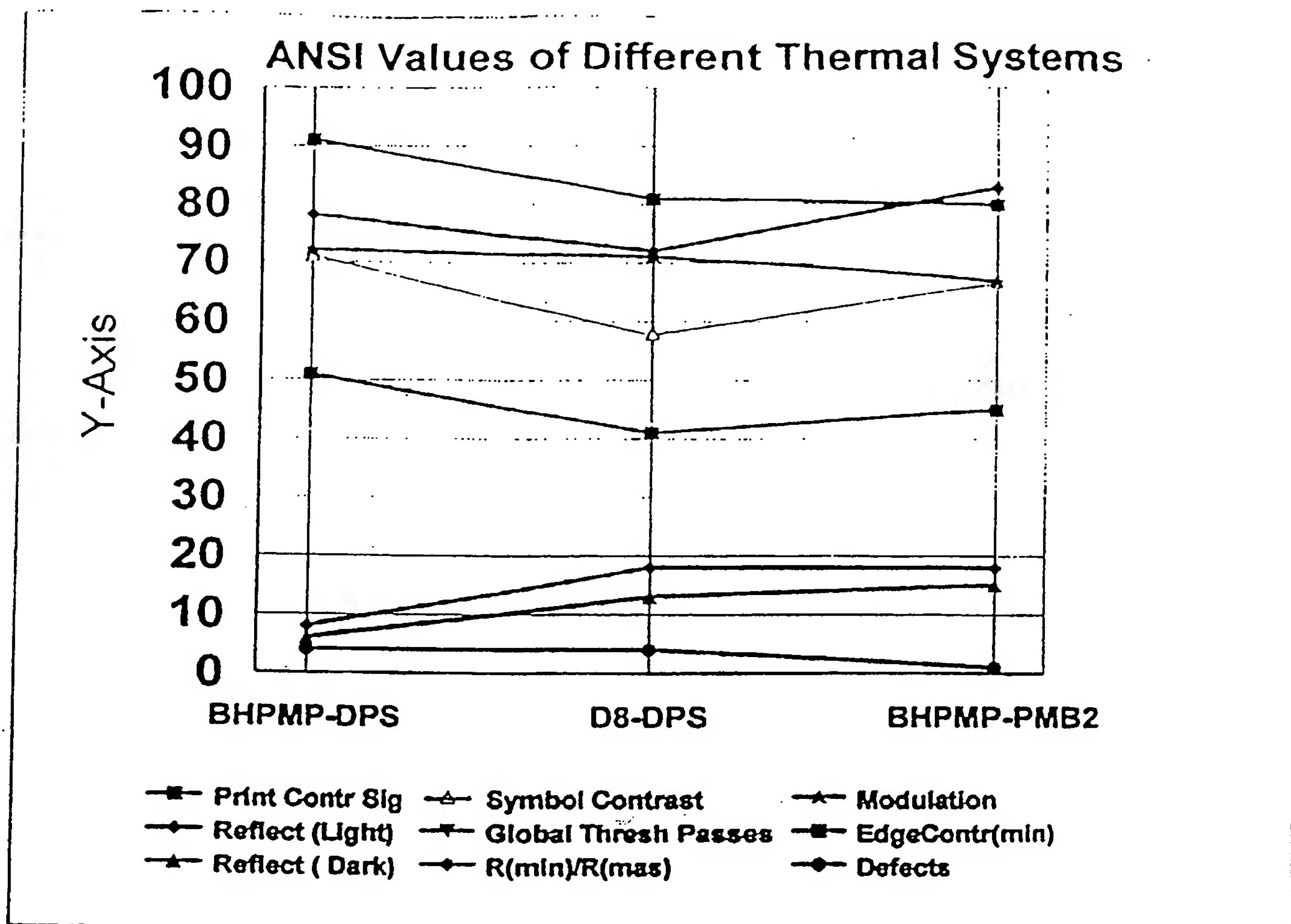
4. A coating composition according to claim 1 wherein the die comprises 6'-dibutylamino-3'-methyl-2'(phenylamino)-spiro [isobenzofuran-1(3H),9'-[9H]xanthen]-3-one (CAS No. 89331-94-2).

5. A coated print stock substrate comprising: a substrate and a dried coating prepared from the composition of any of claims 1-4.



90° rotated

Fig. 1



0° rotated

Fig. 2

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US02/04360

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) B41M 5/30

US CL 503/201

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 503/201, 209, 213, 217, 218, 221, 224

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A, E	US 6,348,432 B1 (ELMASRY) 19 February 2002, see entire document.	1-8
A, P	US 6,284,707 B1 (MIDORIKAWA) 04 September 2001, see entire document.	1-8

 Further documents are listed in the continuation of Box C. See patent family annex.

•	Special categories of cited documents:	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A"	document defining the general state of the art which is not considered to be of particular relevance	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E"	earlier document published on or after the international filing date	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L"	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&"	document member of the same patent family
"O"	document referring to an oral disclosure, use, exhibition or other means		
"P"	document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

02 MAY 2002

Date of mailing of the international search report

12 JUN 2002

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

ELIZABETH MULVANEY

Jean Proctor
Paralegal Specialist

Telephone No. (703) 308-0661

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.